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I hereby certify that this Information Disclosure Statement, Form PTO-1449, and copies of 5 citations are being deposited as EXPRESS MAIL this date with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231, on:

Date October 3, 2001 By

Shuri L. Ghuri

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Thomas Laursen et al.

Docket No.

SFI 658C1

Serial No.:

Not yet assigned

Group Art Unit:

Not yet assigned

Filing Date:

October 3, 2001

Examiner:

Not yet assigned

Priority Serial No.:

09/690,521

Priority Filing Date:

October 17, 2000

Title:

MULTIZONE CARRIER WITH PROCESS MONITORING SYSTEM

FOR CHEMICAL-MECHANICAL PLANARIZATION TOOL

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Assistant Commissioner For Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. One copy of each cited document is submitted herewith. The cited documents generally relate to optical endpoint detection. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b)(1) and therefore, no fee under 37 C.F.R. § 1.17(p) is required.

Respectfully submitted,

Date: October 3, 2001

James L. Farmer Reg. No. 42,525

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